

Notice of References Cited	Application/Control No. <i>09/588800</i>	Applicant(s)/Patent Under Reexamination <i>Lockwood</i>	
	Examiner <i>Akas, G</i>	Art Unit <i>3622</i>	Page <i>1</i> of <i>1</i>

U.S. PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
	U	"Risk Management + Analysis" - Alexander, Carol
	V	John Wiley + Sons (Vols 1+2) 1996
	W	"Advanced Strategies in Financial Risk Management" - 1993
	X	- Schwartz R - New York Institute of Finance

* A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a)).
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